

November 1, 2012

OFFICE OF THE DIRECTOR

OCF Website Survey launched October 3, 2012 for members of the public to comment on the usability of the Website, its services and features. The Survey will remain open until December 31, 2012.

Vacancy Announcements of Positions available in the OCF Office of the General Counsel and the Records Analysis and Audit Division posted at the Department of Human Resources Website on October 25, 2012. The Announcements will close on November 9, 2012 for the submission of applications.

PUBLIC INFORMATION AND RECORDS MANAGEMENT DIVISION

The following is an accounting of PIRMs production stats:
October 2012

FILING DEADLINES

CITIZEN SERVICE PROGRAMS

October 1, 2012 Report of Receipts & Expenditures

Total number of Required Filers.....	13
Total number of Timely Filers.....	12
Total number of Late Filers.....	0
Total number of Extensions Requested.....	0
Total number of Failures to File.....	1
Total number of OGC Referrals.....	1
<i>Total number of Electronic Filings.....</i>	<i>12</i>
<i>Total number of Certified E-Filings.....</i>	<i>12</i>

SENATOR/REPRESENTATIVE STATEHOOD FUNDS

October 1, 2012 Report of Receipts & Expenditures

Total number of Required Filers.....	3
Total number of Timely Filers.....	3

Total number of Extensions Requested.....0
 Total number of Late Filers.....0
 Total number of Failures to File.....0
 Total number of OGC Referrals.....0
Total number of Electronic Filings3
Total number of Certified E-Filings.....2

PRINCIPAL CAMPAIGN COMMITTEES & POLITICAL ACTION COMMITTEES

October 10th R&E Reports

Total number of Required Filers.....94 (47PCCs - 47PACs)
 Total number of Timely Filers.....81 (36PCCs – 45PACs)*
 Total number of Extensions Requested.....1 (1PCCs – 0PACs)
 Total number of Failures to File.....14 (12PCCs – 2PACs)
 Total number of OGC Referrals.....14 (12PCCs – 2PACs)
Total number of Electronic Filings.....68 (34PCCs – 34PACs)
Total number of Certified E-Filings.....61 (34PCCs – 27PACs)

**7 of the PCC filings were Termination Reports*

October 31st R&E Reports (8 Day Pre-General Election Reports)

Total number of Required Filers.....75 (27PCCs - 48PACs)
 Total number of Timely Filers.....TBD
 Total number of Failures to File.....TBD
 Total number of OGC Referrals.....TBD
Total number of Electronic Filings.....TBD
Total number of Certified E-Filings.....TBD

Lobbyist Registration Fees (as of October 31, 2012)

Total Number of Lobbyists Registered for Calendar Year 2012.....279

Registration Fees collected in the total amount of \$59,300.00 from the New Registrants

REPORTS ANALYSIS AND AUDIT DIVISION

The following is an accounting of RAAD’s production stats:
October 2012

Reviews Completed – 83

Lobbyists.....	0
Political Action Committees.....	44
Constituent Service Funds (includes amended filings).14	
US Senator/Representative	2
Principal Campaign Committees (includes Amended Filings).....	21
Exploratory Committees.....	0
Independent Expenditures	1
Initiative/Referendum Committees.....	1
Audit Letters (RFAI) Sent.....	11
Follow-up letters sent.....	0
Case(s) Resolved.....	8
Cases Referred to the Office of General Counsel.....	0
Cases Referred for Contributions with Same Address and Similar Signatures.....	0
Cases Terminated	0
Hearings Attended	0
Outreach Education Seminars Attended	0
Outside Seminars Attended	0

Periodic Random Audits

Political Action Committees – July 31, 2012 Filings

1. **DC Democratic State Committee** – The Final Audit Report was issued on October 24, 2012.

Constituent Services Programs – October 1, 2012 Filings

1. **Yvette Alexander Constituent Fund** – The Audit Notification Letters were issued on October 5, 2012. The CSF records are due.
2. **Evans Constituent Service Fund** – The Audit Notification Letters were issued on October 5, 2012. The audit fieldwork is in progress.

Full Field Audits

Investigative Audits

1. **Gray For Mayor** – The audit process is ongoing. The Audit Staff is awaiting the availability of additional information which may require the issuance of an Interim Audit Report.

Newly Elected Official

1. **Kwame for Chair** - The Audit fieldwork is complete.
2. **Orange At-Large/Special Election 2011-** The Final Audit Report was issued on October 26, 2012.

OFFICE OF THE GENERAL COUNSEL

2012 MONTHLY IN-TAKE/OUTPUT REPORT

OFFICE OF GENERAL COUNSEL

	July	August	September	October	November	December	
Opinion Request Received				2			
Opinion Request Completed				0			
Complaints Received				2			
Internal Queries				1			
Preliminary Investigations Initiated				3			
Preliminary				0			

Investigations Orders Issued							
Full Investigations Initiated				0			
Full Investigations Orders Issued				1			
Informal Hearings Referred				18			
Informal Hearings Completed				8			
Informal Hearings Orders Issued				7*			
Petitions for Enforcement Referrals to BOEE				0			

*This figure represents 7 Failure to Timely File Orders in which no fines were imposed.

Board Statistics for October, 2012

Board Meeting November 1, 2012

1. Actual Matters referred

PIRM

PCC 15

PAC's 2

Lobbyist 0

Constituent Services	1
FDS	0
Statehood Fund	0
<u>RAAD</u>	
PCC	0
PAC's	0
Lobbyist	0
Constituent Services	0
FDS	0
Statehood Fund	0
2. Notices of Hearings	17
First Notices	16
Second Notices	1
3. Notices and Orders Vacated	08
4. Hearings Conducted	11
5. Orders which imposed fines	0
6. Fines imposed	0
7. Fines collected	0
8. Investigations completed Orders issued	0
9. Petitions for Enforcement	0

LIST OF OPEN OCF INVESTIGATIONS

November 1, 2012

OCF FI 2011-103 Complainant: Internally Generated March 15, 2011
Respondent: Sulaimon Brown for Mayor
Principal Campaign Committee
Infraction: Reporting Violations
Status: This matter was referred to the Audit
Division for a Full Field Audit.
Issuance of the Final Audit Report contingent upon
coordination with another enforcement agency.

OCF FI 2011-104 Complainant: Internally Generated March 15, 2011
Respondent: Gray for Mayor
Principal Campaign Committee
Infraction: Reporting Violations
Status: This matter was referred to the Audit
Division for a Full Field Audit.
Issuance of the Final Audit Report contingent upon
coordination with another enforcement agency.

OCF FI 2012-116 Complainant: Terrance Lynch, July 17, 2012
Respondent: Yasmin Romero-Castillo ANC-1D01
Infraction: Conflict of Interest
Status: Order issued October 9, 2012

OCF FI 2012-117

Complainant: Internally Generated, September 21, 2012

Respondent: Michael Brown 2012

Infraction: Reporting Violations

Status: Pending

INTERPRETATIVE OPINIONS

November 1, 2012

#12-05

Requestor: Martha R. Woodland

Submitted: October 9, 2012

Issue: Campaign Literature and In-Kind Contributions

Status: Pending

#12-06

Requestor: Council Chairman, Phil Mendelson

Submitted: October 22, 2012

Issue: Use of Constituent Services Funds

Status: Pending

(All Interpretative Opinions are on-line@ www.ocf.dc.gov)

SHOW CAUSE PROCEEDINGS

November 1, 2012

OCF P.I. 2012-118 Complainant: Gayle Carley
Respondent: Timothy Thomas, ANC Candidate SMD 5B01
Infraction: Failure to include disclaimer on campaign literature
Originated: October 25, 2012
Status: Pending

OCF P.I. 2012-119 Complainant: Internally Generated
Respondent: Chris Harlow, ANC Candidate SMD 6B06
Infraction: Failure to include disclaimer on campaign literature
Originated: October 25, 2012
Status: Pending

OCF P.I. 2012-119 Complainant: Deborah Steiner
Respondent: William Boston, ANC Candidate SMD 5E01
Infraction: Failure to include disclaimer on campaign literature
Originated: October 24, 2012
Status: Pending